

# ACH Authorization Agreement



Sub Firm #	Br Code	FA Code

This will serve as authorization to participate in the Automated Clearing House (ACH) program to transfer funds between the designated bank account and your brokerage account at Wells Fargo Advisors, LLC ("WFA").

BROKERAGE ACCOUNT INFORMATION	
Account Title	Account Number
Account Title (continued)	

BANK ACCOUNT INFORMATION			
<input type="checkbox"/> <b>New</b> <input type="checkbox"/> <b>Update</b> I/We authorize WFA to update existing ACH(S)* <input type="checkbox"/> I/We authorize WFA to cancel existing ACH(S)* *Verbal Client authorization is permissible to update or cancel ACH transfer(s) to a bank account(s).			
Name on Bank Account			
Name on Bank Account (continued)			
Bank Name	City	State	ZIP Code
Routing	Account Number	Type <input type="checkbox"/> Checking <input type="checkbox"/> Savings	

PERIODIC TRANSFERS			
<b>Deposits to Bank:</b>			
Amount	Start Date	Frequency: <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Annually	
<b>Withdrawals from Bank:</b>			
Amount	Start Date	Frequency: <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Annually	
<b>Transfer Dividends &amp; Interest from Brokerage Account to Bank Account</b>			
Frequency: <input type="checkbox"/> Daily <input type="checkbox"/> Semi-weekly <input type="checkbox"/> Monthly			

<b>ACH on Demand</b>	
I / We authorize WFA to transfer funds upon Client request	
<b>Permissible transactions:</b>	<input type="checkbox"/> Deposit to bank from brokerage <input type="checkbox"/> Withdrawal from bank to brokerage

DOCUMENTATION OF CLIENT(S) VERBAL INSTRUCTIONS		
Name of Authorized Account Holder	Account Holder(s) personally known to me; or	Account Holder(s) confirmed by two pieces of identifying information. (e.g. SSN, DOB, recent account activity, home phone number)
	<input type="checkbox"/>	<input type="checkbox"/> 1. <input type="text"/> 2. <input type="text"/>
	<input type="checkbox"/>	<input type="checkbox"/> 1. <input type="text"/> 2. <input type="text"/>
	<input type="checkbox"/>	<input type="checkbox"/> 1. <input type="text"/> 2. <input type="text"/>

**SIGNATURES: ALL ACCOUNT OWNERS MUST SIGN**  
 (Not required if Client instructions to update or cancel ACH transfers to a bank account are documented above.)  
 If the registrations to the bank account and the brokerage account are not the same, signatures of all accounts holders are required before a withdrawal from the bank account can be initiated on the brokerage account.  
**DO NOT SIGN BELOW UNLESS YOU HAVE READ AND UNDERSTAND ALL TERMS AND CONDITIONS ON PAGE TWO OF THE DOCUMENT**

Signature 1 <b>X</b>	Print Name	Date
Signature 2 <b>X</b>	Print Name	Date
Signature 3 <b>X</b>	Print Name	Date

ASSOCIATE AND SUPERVISORY SIGNATURE		
Associate's Signature <b>X</b>	Print Associate's Name	Date
Qualified Supervisor's Signature <b>X</b>	Print Qualified Supervisor's Name	Date

## Terms and Conditions

This agreement is governed by the general terms and conditions of the brokerage account specified by you in this form. The ACH service is to be performed by us and in accordance with the rules of the National Automated Clearing House Association ("NACHA") as such are amended from time to time. The ACH service includes the transmission of electronic credit and/or debit entries initiated by you and processed through us from your account. This service is established solely for the convenience of the account owner and the persons signing the reverse side of this document understand that this service may be terminated or modified at any time without notice by Wells Fargo Advisors ("WFA") or its agents.

The account owners release WFA and its affiliates, agents and representatives from all liability and agree to indemnify the same from any and all losses, damages, or costs for acting in good faith in accordance with the privilege selected herein. In no event shall WFA or its affiliates, agents or representatives be liable for consequential damages. All terms shall be binding upon the heirs, representatives and assigns of the account owners.

The account owners release WFA and its affiliates, agents or representatives in the event WFA makes an error which underpays/overpays their account. The account owners authorize WFA to debit or credit their account as necessary to correct any errors.

A fee will be charged for all DEPOSITS into brokerage accounts that reject for insufficient funds or closed accounts.

The authorization will continue until terminated by any account owner by written notification to WFA (except for verbal instructions allowed as set forth in Section H of Additional Information below). Termination will be effective as soon as WFA, upon receipt, has had reasonable time to act upon it.

## Additional Information

- A. Funds will not be automatically deposited to or withdrawn from money market accounts unless a sweep agreement is on file.
- B. A new form must be completed when changing bank account, brokerage account, or money market account information.
- C. Starter checks will not be accepted.
- D. If an ACH frequency is a holiday or weekend, money will remit on the next business day.
- E. Withdrawals cannot be made from margin accounts.
- F. Complete one form for each account in which transfers are to be made.
- G. If you wish to receive written confirmation of ACH activity, please contact your Financial Advisor.
- H. Verbal Client instructions are permissible only to update or cancel ACH transfers from a brokerage account to a bank account.